

# **State Auditor's Office**

## **Agency Goals and Objectives**

### **Insurance Division**

1. Review and approve forms within 60 days as required by 33-1-502, MCA.
2. Financially examine traditional domestic insurers as often as the Commissioner considers advisable, but not less than one every 5 years and examine each captive insurer at least once in 3 years.
3. Respond to and resolve policyholder complaints in a timely fashion.
4. Complete market conduct examinations for domestic insurance carriers once every five years as required by 33-1-401, MCA.
5. Review and approve licenses and renewals as required by 33-17-201, MCA.
6. Implement and comply with new federal health insurance laws.

### **Securities Division**

1. Make investor education available to all Montanans through investor outreach, publications, public service announcements, and formal classroom teaching.
2. Protect investors and persons involved in securities transactions by conducting for cause and routine broker-dealer and investment advisor examinations.
3. Assist Montana businesses in accessing capital through capital formation presentations.

### **Central Management Division**

1. Expand and improve the features offered by the State Auditor's Office (SAO) website, as a way to increase government efficiency and consumer assistance.
2. Provide efficient and effective administration, including management, human resources and financial services for the agency.